



2775
10-22-03

PATENT
Attorney Docket No.: SONY-15100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 2661

Jon Ebbe Brelin) Examiner:

Serial No.: 09/972,488)

Filed: October 4, 2001)

For: **A METHOD OF AND APPARATUS
FOR UTILIZING EXTENDED
AV/C COMMAND FRAMES
INCLUDING STATUS INQUIRY,
NOTIFY INQUIRY AND
CONTROL INQUIRY COMMAND
TYPES**) 162 N. Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: April 10, 2003

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

- 1 -

HAVERSTOCK & OWENS LLP.

note: 4/10/03 By: Sharon McCormick



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Examiner:)
CERTIFICATION FOR)
SUPPLEMENTAL INFORMATION)
DISCLOSURE STATEMENT UNDER 37)
CFR § 1.97(e))
162 N. Wolfe Road)
Sunnyvale, CA 94086)
(408) 530-9700)

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Technology Center 2600

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on March 18, 2003. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

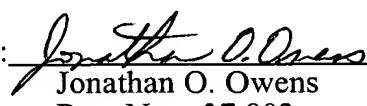
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Attorney Docket No.: SONY-15100

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: April 10, 2003

By: 
Jonathan O. Owens
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Attorneys for Applicant

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- 2 -

HAVERSTOCK & OWENS LLP.

Date: 4/10/03 By: Marie McCormick



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Attorney Docket No.: SONY-15100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 2661
Jon Ebbe Brelin) Examiner:
Serial No.: 09/972,488) **SUPPLEMENTAL INFORMATION**
Filed: October 4, 2001) **DISCLOSURE STATEMENT**
For: **A METHOD OF AND APPARATUS**) 162 N. Wolfe Road
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TYPES)

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Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 5,555,413;
- U.S. Patent No. 5,724,646;
- U.S. Patent No. 6,370,688 B1;
- U.S. Patent No. 6,438,110 B1;
- U.S. Patent No. 6,513,064 B1; and
- U.S. Patent No. 6,516,416 B2.

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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: April 10, 2003

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP.

Date: 4/10/03 By: Sharon McCormick

FORM PTO-1449 (Modified)	APR 14 2003	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No.: SONY-15100	Serial No.: 09/972,488
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) (37 CFR § 1.98(b))		Applicant: Jon Ebbe Brelin		
		Filing Date: October 4, 2001		Group Art Unit: 2661

U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	5,555,413	09/10/96	Lohman et al.	395	733	02/17/95
	AB	5,724,646	03/03/98	Ganek et al.	455	4.2	06/15/95
	AC	6,370,688 B1	04/09/02	Hejna, Jr.	725	101	05/26/99
	AD	6,438,110 B1	08/20/02	Rai et al.	370	254	08/26/99
	AE	6,513,064 B1	01/28/03	Horiguchi et al.	709	223	09/13/99
	AF	6,516,416 B2	02/04/03	Gregg et al.	713	201	06/11/97
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AK							
	AL							
	AM							
	AN							
	AO							

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

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Examiner: _____ Date Considered: _____

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.